

## The Honorable Marsha J. Pechman

UNITED STATES DISTRICT COURT FOR THE  
WESTERN DISTRICT OF WASHINGTON AT SEATTLE

JULIE DALESSIO, an individual,  
Plaintiff,

V.

UNIVERSITY OF WASHINGTON, a Washington Public Corporation; ELIZA SAUNDERS, Director of the Office of Public Records, in her personal and official capacity; ALISON SWENSON, Compliance Analyst, in her personal capacity; PERRY TAPPER, Public Records Compliance Officer, in his personal capacity; ANDREW PALMER, Compliance Analyst, in his personal capacity; JOHN or JANES DOES 1-12, in his or her personal capacity,

## Defendants.

No. 2:17-cv-00642-MJP

DEFENDANTS SAUNDERS,  
SWENSON, TAPPER, AND PALMER'S  
SECOND MOTION FOR SUMMARY  
JUDGMENT

**Noted for Hearing:  
Friday, April 19, 2019  
(per court order, Dkt. 160)**

## I. INTRODUCTION

On March 15, 2019, this Court entered an order finding that Defendants' immunity arguments upon which the Court dismissed Plaintiff's "personal information" claims appeared equally applicable to Plaintiff's "medical information" claims. *Dkt. 160*, at p. 3:16-4:3. However, the Court has requested follow-up briefing on specific issues in the form of a second Motion for Summary Judgment. There is no basis to dispute Defendants' substantive arguments or authority. Plaintiff has had the opportunity to produce authority but has refused to do so. Pursuant to the Court's order, Defendants seek dismissal of all remaining claims, including Plaintiff's "medical information" claims, with prejudice.

DEFENDANTS SAUNDERS, SWENSON, TAPPER,  
AND PALMER'S SECOND MOTION FOR  
SUMMARY JUDGMENT - 1  
2:17-cv-00642-MJP

1212-22251/122251-1

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## II. STATEMENT OF FACTS

The facts of this case have been briefed extensively by both parties and the Court. *Dkts. 119, 130, 132, and 153.* No additional discovery has been exchanged between the parties since the Court's order.

As the Court neatly identified, Plaintiff's remaining claims include her First and Third Causes of Action: alleged violations of the Fourth and Fourteenth Amendments (claim pursuant to 42 U.S.C. § 1983) for the release of allegedly private "health information" in violation of the Americans with Disabilities Act (ADA) and the Health Insurance Portability and Accountability Act (HIPAA), and her Seventh Cause of Action: a state law claim for public disclosure of private facts. *Dkt. 160* at p. 10 (attachment A). The allegedly "private facts" giving rise to the remaining state law claim also pertain to disclosure of allegedly private health information. *Id.* Individually-named Defendants Eliza Saunders, Alison Swenson, Perry Tapper, and Andrew Palmer ("Individual Defendants") are the only remaining Defendants in this action.<sup>1</sup>

**A. Plaintiff's Claims Regarding Allegedly Private "Health Information" is limited to Nine Pages of Documents That Do Not Actually Disclose Protected Health Information.**

When previously pushed to identify what specific *medical or health* documents were produced to her neighbor, David Betz, in response to his Public Records Act (PRA) Request (PR 15-00570), Plaintiff only identified **nine pages**, which are in the record as pages 69-77 of *Dkt. 34*. *Dkt. 130*, at p. 5:5-8. Those records consist of:

- A form titled "accommodations request" that is completely illegible and unreadable (*Dkt. 34* at 69)
- A 2002 cover letter containing no medical information (*Dkt. 34* at 70)
- A "temporary accommodation letter" from Plaintiff's Departmental supervisor to her—heavily redacted to remove any references to any medical

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<sup>1</sup> Claims against Defendant University of Washington and John/Jane Does 1-16 were previously dismissed with prejudice. *Dkt. 153.*

1 information (Dkt. 34 at 71-73)<sup>2</sup>

- 2
- 3 • A Job Analysis form outlining the essential functions and physical capacities required to perform *the position* of “Clinical Technologist II”—it is not filled out or signed by a doctor and does not contain *medical information* about Dalessio (Dkt. 34 at 74-77).<sup>3</sup>

4 A cursory review reveals these documents do not actually contain protected health  
 5 or medical information and that any employment documents that may have contained  
 6 health-related information were heavily redacted or illegible. While Plaintiff has only  
 7 identified those pages, it is undisputed that the remaining pages in the Betz production  
 8 similarly lack any medical or health information. *Dkts.* 32, 33, and 34.

9

10 **B. The Undisputed Facts Demonstrate That No Individually-Named Defendant—  
 Employees Engaged in Conduct Giving Rise to A Cause of Action Against  
 Them.**

11 It remains undisputed that Defendant Alison Swenson is the only Defendant who  
 12 produced any documents related to Ms. Dalessio to a third party, Mr. Betz, on of  
 13 approximately 194 public records requests Ms. Swenson worked on that year. *Dkt.* 30  
 14 (*Swenson Dec.*); *Dkts.* 120, 121. It is also undisputed that she followed departmental  
 15 procedures for locating records responsive to the PRA request and received responsive  
 16 records from University departments that located employment files/documents related to  
 17 Ms. Dalessio’s employment, which had ended in 2003. *Id.* Upon review of the records, she  
 18 redacted information as permitted by the PRA as exempt in 370 pages produced to Mr. Betz  
 19 and withheld 101 pages she determined to be wholly exempt from disclosure under the  
 20 PRA. *Id.*; *Dkt.* 30-5, 30-6 (listing exemptions Ms. Swenson applied to redact or withhold  
 21 portions of documents); *Dkt.* 30-7 (Request Summary Report depicting exemptions  
 22 applied).

23 Defendant Andrew Palmer’s only involvement in this case is that he produced

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25 <sup>2</sup> Plaintiff filed a copy of the corresponding unredacted version of the letter in Dkt. 38 at 00030-A1496201;  
 26 however, it is undisputed the unredacted copy was never produced to a third party. *See,* Dkt. 34 at pp. 71-73.

27 <sup>3</sup> It is undisputed all unredacted documents Plaintiff filed in Dkt. 38 at pp. 28, 4-13, 22-25 were *only* produced  
 directly to Ms. Dalessio herself in response to her request for her own records and *never* produced to a third  
 party. *Dkt.* 131, at ¶¶ 17-23; *Dkt.* 29 (*Palmer Dec.*)).

1 copies of Ms. Dalessio's personnel files and records directly to her pursuant to her own  
 2 request. He never produced any documents regarding Ms. Dalessio to any third party.  
 3 *Dkt. 29 (Palmer Dec.); Dkts. 30, 120, 121.*

4 Neither Defendant Perry Tapper nor Defendant Eliza Saunders released subject  
 5 documents to anyone. They simply served as supervisors in the University's Office of  
 6 Public Records. Dkt. 121 (*Tapper Dec.*), Dkt. 120 (*Saunders Dec.*). They corroborate the  
 7 process Public Records Analysts follow to respond to public records requests involves  
 8 individualized review of documents for potential exemptions under the PRA, redacting  
 9 and/or withholding exempt material. *Dkt. 121, ¶¶4-5; Dkt. 120, ¶¶4-7, Ex. B.*

10 It is undisputed none of the parties knew of either Ms. Dalessio or Mr. Betz prior to  
 11 his submitting a public records request in 2015, one of approximately 800 requests the OPR  
 12 receives each year (requiring individualized review of more than four million pages of  
 13 documents). *Dkt. 120, ¶7; Dkts. 29, 30, 120, 121.* There is no evidence that any Defendant  
 14 departed from normal procedures for facilitating PRA responses in a manner consistent  
 15 with their training and experience or engaged in any conduct regarding Ms. Dalessio's  
 16 employment information for any purpose other than a good faith attempt to exercise their  
 17 job duties pursuant to the mandates of the PRA. *Id.*

### 18                   **III. EVIDENCE RELIED UPON**

- 19     • Pleadings and filings already in the record, including Dkt. Nos. 82, 28, 29, 30,  
 20       32-34, 118-121, 132, 153, 155, and 160.

### 21                   **IV. ARGUMENT AND ANALYSIS**

22 Defendants seek summary judgment dismissal of Plaintiff's remaining claims.  
 23 Defendants hereby incorporate legal and factual arguments and authority set forth in  
 24 Dkt. Nos. 119, 132 and 153, and provide the following analysis to address the four specific  
 25 questions requested by the Court in Dkt. 160.

1           **A. No, Plaintiff Cannot Seek Relief for Alleged Violations of HIPAA or the ADA  
2 under 42 U.S.C. § 1983.**

3           Neither of these statutes are actionable under 42 U.S.C. §1983. In *Nickler v. Cty. of  
4 Clark*, 752 F. App'x 427, 429 (9th Cir. 2018), the court recently reiterated that HIPAA does  
5 not give rise to either a direct cause of action or a § 1983 claim:

6           To the extent that Nickler raises a claim based on an alleged  
7 Health Insurance Portability and Accountability Act (HIPAA)  
8 violation, that claim fails because there is no private right of  
9 action under HIPAA, *Seaton v. Mayberg*, 610 F.3d 530, 533  
10 (9th Cir. 2010), and Nickler has not shown that Congress's  
11 enactment of HIPAA "create[d] new rights enforceable under  
12 § 1983 ... in clear and unambiguous terms," *Gonzaga  
13 University v. Doe*, 536 U.S. 273, 290, 122 S.Ct. 2268, 153  
14 L.Ed.2d 309 (2002)."

15           Nor can a §1983 claim be used to enforce rights under the ADA. *Okwu v. McKim*,  
16 682 F.3d 841, 846 (9th Cir. 2012); *Iceberg v. Martin*, 2017 WL 396438, at \*8 (W.D. Wash.  
17 Jan. 30, 2017), *app. dism.* 2018 WL 4904818 (9th Cir. 2018) (both dismissing § 1983  
18 claims based on the ADA). This law has been clearly established for some time. *See also*,  
19 Dkt. 132, pp. 2-4.<sup>4</sup> This should be dispositive of Plaintiff's First and Third Causes of  
20 Action.

21           **B. Yes, Defendants' Immunity Defenses Apply Equally to Plaintiff's Allegations  
22 Concerning Disclosure of Medical Information and Alleged Violations of  
23 HIPAA and the ADA.**

24           As the Court identified in its order (*Dkt. 160*), the same immunity defenses that  
25 barred Plaintiff's "personal information" claims would also bar her "health information"  
26 claims under both State and Federal law. *See also*, analysis in Dkt. 119, pp. 6-16; Dkt. 132,  
27 pp. 5-9. The individual Defendants are all entitled to qualified immunity for objectively  
reasonable exercise of judgment in the course of their job duties requiring administration of  
the PRA on behalf of the University. To the extent any allegations are based on policy-level  
involvement in determining processes by which University documents are located and

<sup>4</sup> Though Plaintiff is not proceeding directly on an ADA Claim, individual Defendants are not subject to liability under the ADA either. *See, e.g., Alford v. Barton*, 20915 WL 2455138 at \*8 (E.D. Cal. 2015) (*citing Walsh v. Nevada Dept. of Human Rts.*, 471 F.3d 1033, 1037-38 (9<sup>th</sup> Cir. 2006)).

1 produced to the Office of Public Records (OPR) for inspection, redaction, and then  
 2 production pursuant to Washington's Public Records Act, Eliza Saunders and Perry Tapper  
 3 would also be entitled to discretionary immunity.<sup>5</sup>

4       1.     All Individual Defendants Are Entitled to Qualified Immunity for Plaintiff's  
       Section 1983 Claims.

5       If the court were to allow § 1983 claims to proceed based on Plaintiff's allegations  
 6 of disclosure of medical information and/or alleged "violations" of HIPAA and the ADA,  
 7 then Defendants would be otherwise subject to qualified immunity barring such claims  
 8 against them as individuals.<sup>6</sup> Defendants are entitled to qualified immunity from lawsuits  
 9 absent evidence they intentionally and directly violated a Constitutional right that was so  
 10 "clearly established" that they would have known they were violating Ms. Dalessio's  
 11 Constitutional rights at the time they acted. *See, Jones v. State Dep't of Health*, 170  
 12 Wash.2d 338, 349, 242 P.3d 825 (2010). To determine whether qualified immunity can be  
 13 overcome, the court first looks at whether "the plaintiff's allegations establish a connection  
 14 between the defendant's conduct and the violation of a constitutional right"—*i.e.*, whether  
 15 the defendant committed the alleged acts." *Id.* (*citing Siegert v. Gilley*, 500 U.S. 226, 232,  
 16 111 S.Ct. 1789, 114 L.Ed.2d 277 (1991) and *Mitchell v. Forsyth*, 472 U.S. 511, 526, 105  
 17 S.Ct. 2806, 86 L.Ed.2d 411 (1985)). Second, the court determines "whether the defendant's  
 18 conduct was objectively reasonable in light of clearly established law." *Jones*, at 349 (*citing*  
 19 *Harlow v. Fitzgerald*, 457 U.S. 800, 818, 102 S.Ct. 2727, 73 L.Ed.2d 396 (1982)).

20       a.     *Plaintiff's "Health Information" Claims Do Not Establish a*  
       *Constitutional Violation.*

22       First, Plaintiff has failed to demonstrate any documents produced to a third party  
 23 (Mr. Betz) contain "protected health information" that would implicate constitutional or  
 24 statutory privacy rights. Contrary to Plaintiff's initial claims, the documents released to

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26       6 Again, the negative answer to question No. 1 posed by the court should be dispositive and result in dismissal  
 27 of Plaintiff's §1983 claims as a matter of law without moving to the remainder of the qualified immunity  
 analysis.

1 Mr. Betz when responding to his 2015 PRA request solely consisted of employment records  
 2 from Plaintiff's employee files—not from any medical “patient file”. In fact, Plaintiff  
 3 identified the specific documents she alleges contain “protected health information” as  
 4 pages 69-77 of *Dkt. 34. Dkt. 130*, at p. 5:5-8. None of those pages contain *any* legible  
 5 private medical or health information about Plaintiff. The closest document is a “temporary  
 6 accommodation letter” that has been so heavily redacted that a reader could not tell what  
 7 allegedly sensitive information might be contained therein.<sup>7</sup>

8 Even if some medical information *had been* disclosed (which it was not), courts  
 9 have long found even the actual release of medical treatment information does not  
 10 necessarily form the basis for a constitutional claim. “Not every disclosure of personal  
 11 information will implicate the constitutional right to privacy, however, and the Supreme  
 12 Court has cautioned against unwarranted expansion of the right[.]” *Cooksey v. Boyer*, 289  
 13 F.3d 513, 515-16 (8th Cir. 2002). “[T]o violate the constitutional right of privacy the  
 14 information disclosed must be either a shocking degradation or an egregious humiliation...  
 15 or a flagrant bre[a]ch of a pledge of confidentiality which was instrumental in obtaining the  
 16 personal information.” *Id.* (Internal quotations omitted.) Redacted or illegible copies of  
 17 personnel file documents and blank forms do not constitute a “shocking degradation” or an  
 18 “egregious humiliation.” *Id.* Therefore, Plaintiff fails to establish a constitutional violation.

19 Separately, to prevail on federal claims under 42 U.S.C. § 1983 against an  
 20 individual defendant, a plaintiff must prove *that individual directly and intentionally*  
 21 violated her rights under the U.S. Constitution—allegations of negligence do not suffice.  
 22 *Daniels v. Williams*, 474 U.S. 327, 331, 106 S. Ct. 662, 665, 88 L. Ed. 2d 662 (1986)  
 23 (rejecting 14th Amendment due process claim based on negligence); *Stevenson v. Koskey*,

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24  
 25 <sup>7</sup> Washington courts have repeatedly interpreted the PRA as “a strongly worded mandate for broad disclosure  
 26 of public records.” See, *Koenig v. City of Des Moines*, 158 Wn.2d 173, 142 P.3d 162 (2006) (requiring public  
 27 agency to disclose sexually explicit details of minor’s sexual assault despite law enforcement concerns of  
 potentially deterring future victims and families from cooperating with law enforcement, and reality that  
 requestor could easily connect the detailed information with the identifiable victim despite redaction of her  
 name).

1 877 F.2d 1435, 1441 (9th Cir. 1989) (inadvertent opening of an inmate's personal mail did  
 2 not rise to the level of a constitutional violation cognizable under §1983.) The Court has  
 3 already acknowledged Plaintiff admitted any release of private information to Betz was  
 4 inadvertent and that there is no evidence any individual Defendant acted intentionally to  
 5 violate Plaintiff's rights in any way. *Dkt. 153*, at p. 5:23-7:9.<sup>8</sup>

6 Further, the extensive redactions made to the alleged "health information"—as well  
 7 as more than 101 pages withheld as exempt altogether—again demonstrate that  
 8 Ms. Swenson did not intentionally release any protected health information, but rather that  
 9 she made exhaustive efforts to evaluate and withhold potentially exempt information.<sup>9</sup>  
 10 Similarly, there is no causal connection between Defendants Palmer, Saunders, or Tapper  
 11 and the alleged injury as it is undisputed (and the court has ruled) they had no involvement  
 12 "beyond (1) supervisory responsibility over Swenson or (2) general managerial  
 13 responsibility for the policies and procedures concerning production of records and files  
 14 pursuant to document requests." *Id.* at p. 6:14-7; *Dkts. 29, 120, 121*.

15 In a substantively similar case, the Third Circuit confirmed the requirement of  
 16 intentional conduct applies to the inadvertent disclosure of private records—**even clearly**  
 17 **protected health information.** *Weisberg v. Riverside Twp. Bd. of Educ.*, 180 F. App'x 357,  
 18 365 (3d Cir. 2006) ("Medical information ... is entitled to privacy protection against  
 19 disclosure. The Due Process Clause is simply not implicated by a negligent act of an  
 20 official causing unintended loss of or injury to life, liberty, or property."); *see also, Annabel*  
 21 *v. Michigan Dept. of Corr.*, 2018 WL 3455407 at \*22-23 (W.D. Mich. 2018), *citing Summe*  
 22 *v. Kenton Cty.*, 604 F.3d 257, 270-271 (6<sup>th</sup> Cir. 2010) (county's release of medical record of  
 23 deputy county clerk to citizen pursuant to open records request did not implicate a right

24  
 25 <sup>8</sup> See, *Dkt. 37, Dec. of Dalessio* at 2 ("...these PRs containing my...health related information... would be  
 26 properly redacted and hoped that UW would request [Betz] destroy all copies of personal, confidential  
 27 information that he was mistakenly provided." *Cited in Order, Dkt. 153, fn. 5.; Dkt. 160*, at p. 7:14-16.

<sup>9</sup> See, *Dkt. 30* (Swenson withheld 101 of 471 pages of documents from release to Mr. Betz, and heavily  
 27 redacted others based on PRA exemptions, including those based on "health privacy." *Dkt Nos. 30-5, 30-6,*  
*30-7, Dkt. 34, pp. 63-66, 71-73, 80-82, 102-106* (Swenson redactions based on privacy exceptions)).

DEFENDANTS SAUNDERS, SWENSON, TAPPER,  
 AND PALMER'S SECOND MOTION FOR

SUMMARY JUDGMENT - 8

2:17-cv-00642-MJP

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1 fundamental or implicit in the concept of ordered liberty so as to violate constitutional right  
 2 to privacy); *Jarvis v. Wellman*, 52 F.3d 125, 126 (6<sup>th</sup> Cir. 1995) (disclosure of rape victim's  
 3 medical records to an inmate did not violate her constitutional privacy rights).

4 In *Weisberg*, an employee's Fourteenth Amendment claim for violation of  
 5 informational privacy was properly dismissed where his medical report was accidentally  
 6 put into an envelope with another teacher's contract, thus releasing sensitive information.  
 7 *Id.* at 360. *Id.* at 365; *see also, Warner v. Twp. of S. Harrison*, 885 F. Supp. 2d 725, 739-40  
 8 (D.N.J. 2012 (negligent disclosure does not violate a constitutionally-protected privacy  
 9 right.")) The Court has already acknowledged there is no evidence anyone intentionally  
 10 released "protected health information" about Ms. Dalessio in the PRA response. For all of  
 11 these separate and individually-sufficient reasons, Plaintiff fails to meet the first prong of  
 12 qualified immunity by establishing a constitutional violation. *See also*, Dkt. 119, pp. 6-16;  
 13 Dkt. 132 at pp. 5-9.

14                   b.     *Any Constitutional Right Potentially Implicated Was Certainly Not*  
 15                   *"Clearly Established" at the Time of the Production.*

16       Even if the Court somehow found some private health information was disclosed in  
 17 a manner significantly substantial enough to possibly amount to a constitutional violation,  
 18 Plaintiff fails the second prong of proving any such right was clearly established at the time  
 19 of disclosure. The standard for determining application of qualified immunity is "whether  
 20 the [individual] could have believed, reasonably but mistakenly... that his or her conduct  
 21 did not violate a clearly established constitutional right." *Skoog v. Cty. of Clackamas*, 469  
 22 F.3d 1221, 1229 (9th Cir. 2006) (Emphasis added.) This inquiry must be particularized  
 23 based on the circumstances the official is confronted with at the time. *See, Anderson v.*  
 24 *Creighton*, 483 U.S. 635, 639, 107 S.Ct. 3034, 3039 (1987); *Cunningham v. Gates*, 229  
 25 F.3d 1271, 1287 (9<sup>th</sup> Cir. 2000), *as amended* (Oct. 31, 2000) ("[A] district court must  
 26 decide whether a reasonable public official would know that his or her *specific conduct*  
 27 violated clearly established rights.") The "immunity protects all but the plainly

1 incompetent or those who knowingly violate the law.” *White v. Pauly*, 137 S. Ct. 548, 551,  
 2 196 L. Ed. 2d 463 (2017) (Internal citations and quotations omitted.)

3 The documents Plaintiff identifies as “protected health information” do not even rise  
 4 to the level disclosed in *Cooksey*. *See supra*. As the Court can see, none of the documents  
 5 produced to Mr. Betz by Defendant Swenson qualify as such under the rigorous standard  
 6 defining Constitutionally-protected private information. *Dkts. 32, 33, 34*. Based on the  
 7 specific facts and circumstances of this case, there is no authority that would have made it  
 8 clearly established at the time of the production that any of the identified documents would  
 9 even constitute “protected health information”, much less that their production in response  
 10 to a lawful PRA request in redacted format would violate a clearly established  
 11 constitutional right. Therefore, the Individual Defendants are also entitled to qualified  
 12 immunity for all remaining claims.

13       2.       “Good Faith” Immunity Bars Any State Law Claims Against Defendants.

14       The Individual Defendants are also entitled to “good faith” immunity for any state  
 15 law claims under RCW 42.56.060. This immunity bars all state law claims, including  
 16 Plaintiff’s claims for “public disclosure of private facts.” *Nicholas v. Wallenstein*, 266 F.3d  
 17 1083, 1087 (9th Cir.2001); *Levine v. City of Bothell*, 2012 WL 2567095, at \*3 (W.D. Wash.  
 18 July 2, 2012); *Whaley v. DSHS*, 90 Wash. App. 658, 669 (1998). Plaintiff’s references to  
 19 the ADA and HIPAA as the basis of describing certain information as “private” by virtue of  
 20 allegedly constituting “health” information does not foreclose application of statutory  
 21 immunity barring claims against employees charged with preparing documents responsive  
 22 to PRA requests and evaluating, redacting, withholding, and producing such documents in  
 23 the course of fulfilling their obligations under the PRA.

24       3.       Eliza Saunders and Perry Tapper Are Entitled to Discretionary Immunity to  
                  the Extent Plaintiff’s Claims Are Based on Their Actions in Creating UW’s  
                  Public Records System.

26       Defendants used the same processes to locate, collect, and redact the alleged  
 27 “protected health information” as they did the alleged “personal information.” To the

DEFENDANTS SAUNDERS, SWENSON, TAPPER,  
 AND PALMER’S SECOND MOTION FOR  
 SUMMARY JUDGMENT - 10

2:17-cv-00642-MJP

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1 extent Plaintiff pursues a claim against Defendants Saunders and/or Tapper based on their  
 2 role in creating or implementing the processes by which the University of Washington  
 3 responds to PRA requests, it is also barred by discretionary immunity.

4 The PRA requires that public agencies search all places reasonably calculated to  
 5 locate where responsive documents may be located. *Neighborhood All. of Spokane Cty. v.*  
*6 Spokane Cty.*, 172 Wash. 2d 702, 720, 261 P.3d 119, 128 (2011). Any acts or decisions by  
 7 OPR Director Eliza Saunders or OPR Compliance Officer Perry Tapper, regarding, for  
 8 example, developing a system for OPR to coordinate with the vast array of University  
 9 departments to identify, locate, and collect documents pursuant to the requirements of the  
 10 Public Records Act, as well as how to track requests, evaluate for potential exemptions, and  
 11 prepare redactions involves the exercise of basic policy evaluation, judgment, and expertise  
 12 necessary to implement programs to meet the statutory requirements of the PRA with  
 13 available resources and infrastructure in an enormous public agency like the University of  
 14 Washington. Public officials necessarily consider logistics, resources, and benefits when  
 15 developing such a system for responding to PRA requests based on the public official's  
 16 knowledge and expertise with the goal of complying with the PRA. *See, Dkt. 120, 121;*  
 17 Dkt. 106 (*Order*); Dkt. 119, p. 19; Dkt. 132, pp. 10-11.

18 **C. No, Plaintiff Is Not Entitled to Relief Under Any Cause of Action for the  
 19 Release of Allegedly Protected Information to Herself.**

20 Simply put, there are no privacy concerns in releasing a person's documents or  
 21 information to themselves. It is undisputed Plaintiff is the only one who received an  
 22 unredacted copy of her personnel file and employment-related documents. Therefore,  
 23 Plaintiff has no legitimate claim of a violation of privacy under federal or state law for the  
 24 release of her own file to herself.

25 **1. No Legal Authority Supports a Theory of Liability Under Any of Plaintiff's  
 26 Causes of Action for Producing Documents Regarding Plaintiff's  
 27 Employment to Herself.**

Under Washington law, employees have the legal right to request and inspect their

1 own personnel files and employers are required to make them available to the employee  
 2 within a reasonable time after the employee makes the request. RCW 49.12.240 and  
 3 RCW 49.12.250. Former employees may also request review of their personnel files.  
 4 *Martin v. Gonzaga Univ.*, 191 Wash. 2d 712, 720, 425 P.3d 837, 842 (2018). Plaintiff's  
 5 remaining causes of action under § 1983 and common law "Public Disclosure of Private  
 6 Facts" do not permit recovery for release of one's own information to herself.

7 Plaintiff's Fourth Amendment claim appears to allege an unlawful search and  
 8 seizure because University of Washington employees located her employee files, allegedly  
 9 containing information protected by HIPAA and the ADA, and produced copies of the  
 10 employment documents to her. *Dkt. 82* at ¶¶ 147-165. This Court has already ruled that  
 11 "accessing the files of a former employee in response to a valid records request" does not  
 12 violate the Fourth Amendment with regard to their response to the Betz request in 2015.  
 13 *Dkt. 153* at p. 9:1-11. The employees searched the same records in responding to Plaintiff's  
 14 subsequent request for her own records in 2016, except there was even less of a  
 15 constitutionally-protected "reasonable expectation of privacy" because Defendants were  
 16 producing documents related to Plaintiff's own employment directly to her. *See, e.g.*  
 17 *Boateng v. Lynch*, 672 F. App'x 646, 647 (9th Cir. 2016).

18 With regard to claims of informational privacy under the Fourteenth Amendment,  
 19 courts have found the information must first be disclosed *to the public* to even potentially  
 20 implicate a constitutional concern. "To determine whether a particular disclosure satisfies  
 21 this exacting standard, we must examine the nature of the material **opened to public view**  
 22 to assess whether the person had a legitimate expectation that the information would remain  
 23 confidential while in the state's possession." *Eagle v. Morgan*, 88 F.3d 620, 625 (8th Cir.  
 24 1996) (emphasis added.); *Whitworth v. Regents of Univ. of California*, 274 F. App'x 559,  
 25 562 (9th Cir. 2008) (Plaintiff failed to allege liberty right under the Fourteenth Amendment  
 26 where he could not show a sexual harassment allegation had been disclosed to the public.)

27 In addition, §1983 claims require a direct and intentional violation of an individual's  
 DEFENDANTS SAUNDERS, SWENSON, TAPPER,  
 AND PALMER'S SECOND MOTION FOR  
 SUMMARY JUDGMENT - 12

2:17-cv-00642-MJP

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1 constitutional rights—negligence is not enough. It is undisputed that Andrew Palmer is the  
 2 only employee who produced the response to PR 16-00760 directly to Ms. Dalessio. He  
 3 testified he understood Dalessio's request was a request for her own personnel files and  
 4 therefore would not be redacted before releasing records regarding her own employment to  
 5 her. *Dkt. 29* at ¶ 4. Defendants could locate no Federal law supporting a theory that release  
 6 of information to the subject of the information could in any way give rise to any type of  
 7 privacy claim.

8 Finally, the “Common Law Tort” of “Public Disclosure of Private Facts” requires  
 9 just that—*public* disclosure. “The tort of invasion of privacy requires evidence that the  
 10 matter **publicized** (1) would be highly offensive to a reasonable person, and (2) is not of  
 11 legitimate concern to the public.” *Reid v. Pierce County*, 136 Wash.2d 195, 205, 961 P.2d  
 12 333 (1998) (citing Restatement (Second) of Torts, § 652D (1977)) (emphasis added.)  
 13 “Publicity” means that “the matter is made public, by communicating it to the public at  
 14 large, or to so many persons that the matter must be regarded as substantially certain to  
 15 become one of public knowledge.” *K.S. v. City of Puyallup*, No. 13-5926 RJB, 2014  
 16 WL 3056817, at \*3–5 (W.D. Wash. July 7, 2014), citing *Restatement, supra*. Information  
 17 that is only provided to Plaintiff is not disseminated to the public. Therefore, it cannot give  
 18 rise to a cause of action.

19       2.       Plaintiff's Claims Would Also Be Barred by Good Faith Immunity and  
 20            Qualified Immunity.

21       As discussed above, any claims based on producing Plaintiff's unredacted personnel  
 22 file *to her* are barred by qualified immunity under Federal law and good faith immunity  
 23 under RCW 42.56.060. Plaintiff cannot show that producing Plaintiff's records to her  
 24 would violate any constitutional right, or that an employee in the position of Defendants  
 25 would have any reason to expect that they could possibly be violating the Constitution in  
 26 doing so. Therefore, Plaintiff's §1983 claims would be barred. Similarly, all state law  
 27 claims are barred by good faith immunity. There is no evidence even hinting that Defendant

1 Palmer acted in anything but good faith, nor does the law or evidence suggest he could have  
 2 or should have anticipated he could be violating any legal or Constitutional right when  
 3 producing Plaintiff's records to her. Plaintiff's claims separately fail on these grounds.

4 **D. No, Plaintiff Does Not Have Standing to Sue For the Release of Information  
 5 Regarding Third Parties Contained in the Documents in her UW File.**

6 As an initial matter, Plaintiff did not allege there was protected third-party  
 7 information *in her file*, but rather that information regarding third-parties was produced to  
 8 Mr. Betz in response to PR 15-00570. In any event, however, Plaintiff does not have the  
 9 right to pursue §1983 or tort claims against Defendants on behalf of others. In a 1992  
 10 decision, the Supreme Court decision, laid out the minimal constitutional requirements for  
 11 standing to pursue a §1983 claim:

12 Over the years, our cases have established that the irreducible constitutional  
 13 minimum of standing contains three elements. First, *the plaintiff* must have  
 14 suffered an "injury in fact"—an invasion of a legally protected interest  
 15 which is (a) concrete and particularized, and (b) "actual or imminent, not  
 16 'conjectural' or 'hypothetical[.]'" Second, there must be a causal connection  
 17 between *the injury* and the conduct complained of—the injury has to be  
 18 "fairly ... trace[able] to the challenged action of the defendant, and not ...  
 19 th[e] result [of] the independent action of some third party not before the  
 20 court." Third, it must be "likely," as opposed to merely "speculative," that  
 21 *the injury* will be "redressed by a favorable decision."

22 *Lujan v. Defs. of Wildlife*, 504 U.S. 555, 560–61, 112 S. Ct. 2130, 2136, 119 L. Ed. 2d 351  
 23 (1992) (emphasis added). See also, *Jackson v. Official Rep.s & Emp. of Los Angeles Police  
 24 Dep't In & For Los Angeles Cty.*, 487 F.2d 885, 886 (9th Cir. 1973) (Plaintiff did not have  
 25 standing to assert claims regarding alleged deprivation of others' constitutional rights under  
 26 the Fourth Amendment). Washington law on "standing" follows similar logic. See,  
 27 *Haberman v. Washington Pub. Power Supply Sys.*, 109 Wash. 2d 107, 138, 744 P.2d 1032,  
 1055 (1987), amended, 109 Wash. 2d 107, 750 P.2d 254 (1988) ("The doctrine of standing  
 prohibits a litigant from raising another's legal rights.")

28 Plaintiff cannot show that *she* suffered an "injury in fact" or an invasion of any of  
 29 *her* legally protected interests as a result of allegedly receiving information regarding

DEFENDANTS SAUNDERS, SWENSON, TAPPER,  
 AND PALMER'S SECOND MOTION FOR  
 SUMMARY JUDGMENT - 14

2:17-cv-00642-MJP

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1 others. This claim appears to be related to the appearance of individuals' names on a  
 2 Disability Services Office computer "screen shot" documenting which employee files had  
 3 already been destroyed pursuant to State law retention schedules. *Dkt. 34*, at p. 193. As the  
 4 document does not contain any medical information, it is questionable whether *anyone*  
 5 could assert a privacy claim regarding the information contained in the documents in any  
 6 event. Regardless, Plaintiff certainly cannot. Similarly, Plaintiff cannot show the release of  
 7 the other individuals' names had any causal connection to any injury she alleges to have  
 8 suffered.<sup>10</sup>

9 Plaintiff's Amended Complaint seemingly argues she presented this information to  
 10 support arguments of a "pattern or practice" in support of her own claims. *Dkt. 82* at ¶ 79.  
 11 However, courts have repeatedly found "[a]n interest sufficient to support standing to sue  
 12 ... must be more than simply the abstract interest of the general public in having others  
 13 comply with the law." *Chelan Cty. v. Nykreim*, 146 Wash. 2d 904, 935, 52 P.3d 1, 16  
 14 (2002). Plaintiff has no standing to assert claims on behalf of the other individuals whose  
 15 names may appear in the response to PR 15-00570.

## 16 V. CONCLUSION

17 As with the claims previously dismissed, Plaintiff fails to provide evidence, legal  
 18 authority, or expert opinion creating a material question of fact about or establishing a  
 19 viable claim against Defendants Swenson, Palmer, Tapper, or Saunders. Thus, these  
 20 individual Defendants respectfully request the Court dismiss Plaintiff's remaining claims  
 21 and entire lawsuit as a matter of law with prejudice.

22 DATED: March 28, 2019

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25 By: /s/ Jayne L. Freeman

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26  
 27<sup>10</sup> Furthermore, all of Plaintiff's claims seeking injunctive and/or declaratory relief have already been  
 dismissed with prejudice. *Dkt. 153*, p. 13-14; *Dkt. 160*, p. 10 (Ex. A).

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DEFENDANTS SAUNDERS, SWENSON, TAPPER,  
AND PALMER'S SECOND MOTION FOR  
SUMMARY JUDGMENT - 16  
2:17-cv-00642-MJP

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## **CERTIFICATE OF SERVICE**

I hereby certify that on March 28, 2019, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following:

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5-17-2018 806423.EHR

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